

Element Performance Inspection (EPI)

3.1.13 Other Personnel With Operational Control (OP)

Purpose of this Element (Air Carrier's responsibility):

To ensure that, during supplemental operations, only authorized personnel exercise or influence Operational Control.

Objective (FAA responsibility):

To determine that, during supplemental operations, the Air Carrier allows only authorized personnel to exercise or influence Operational Control.

Specific Instructions for this EPI:

To accomplish this EPI, the inspector should familiarize himself/herself with the Air Carrier's list of personnel authorized to exercise or influence Operational Control. This EPI should be accomplished at the location where the Air Carrier issues the flight release.

Task 6. Requires a review of the list of personnel authorized to influence or exercise Operational Control.

Related EPIs:

- 2.1.5 Supplemental Operations Manual Requirements (AW / OP)
- 3.1.4 Operational Control (OP)
- 3.2.1 Dispatch / Flight Release (OP)
- 3.2.2 Flight / Load Manifest / Weight and Balance Control (OP)
- 3.2.3 MEL / CDL Procedures (OP)
- 4.2.11 Training of Flight Followers (OP)

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To meet this objective, the inspector will accomplish the following tasks (at the inspection locations where applicable):

1. Review the FAA Guidance and Specific Regulatory Requirements (SRR) included in the supplemental information section of this EPI.
2. Review the associated SAI, with emphasis on the Controls Attribute section.
3. Review policies and procedures related to Operational Control.
4. Discuss Operational Control procedures with appropriate personnel.
5. Observe personnel exercising Operational Control.
6. Observe and assess appropriate records.

To meet this objective, the inspector will answer the following questions:

1. Were the following performance measures met:

*1.1 Does the Air Carrier list in their manual each person authorized to exercise Operational Control?
[SRR 121.537(a)]*

☐ YES If no, explain:
☐ NO

1.2 Were all personnel exercising Operational Control listed in the operator's manual?

☐ YES If no, explain:
☐ NO

2. Were the written procedures adhered to for the Other Personnel With Operational Control?

☐ YES If no, explain:
☐ NO

3. Were the identified controls adhered to for the Other Personnel With Operational Control?

☐ YES If no, explain:
☐ NO

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SUPPLEMENTAL INFORMATION

SRRs:

- 14 CFR 121.537 (a), “Responsibility for operational control: Supplemental operations.”

Other CFRs and FAA Guidance:

- FAA Order 8400.10, Vol. 3, Chap. 5, Sect. 3, Par. 1073, “Aircraft Dispatcher Basic Indoctrination Training.”
- FAA Order 8400.10, Vol. 3, Chap. 6, Sect. 1, Par. 1145, “Operational Control, Background and Definitions.”
- FAA Order 8400.10, Vol. 3, Chap. 8, Sect. 1, Par. 1489, “Scope and Concepts, Operational Control.”
- Legal interpretation:
 - 12/1/92 to Mr. Mark G. Monse: legal interpretation of FARs 121.629, 121.533, and 121.535.
- Refer to Advisory Circulars using a search engine (such as ATP Navigator or Summit).